# => d his

(FILE 'HOME' ENTERED AT 11:00:51 ON 01 OCT 2008)

FILE 'REGISTRY' ENTERED AT 11:01:14 ON 01 OCT 2008 L1 STRUCTURE UPLOADED

FILE 'CASREACT' ENTERED AT 11:01:56 ON 01 OCT 2008

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L3 2 S L1 SSS FUL

=> d 13 ibib all

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L3
     ANSWER 1 OF 2 CASREACT COPYRIGHT 2008 ACS on STN
                            144:292785 CASREACT
ACCESSION NUMBER:
TITLE:
                            Process for preparation of 11-[4-[2-(2-
                            hydroxyethoxy)ethyl]-1-piperazinyl]dibenzo[b,f][1,4]th
                            iazepine (Quetiapine) from 2-amino-2'-carboxydiphenyl
                            sulfide and 1-hydroxyethoxyethylpiperazine.
INVENTOR(S):
                            Pathak, Shailendra; Sharma, Jitendra; Kaushik,
                            Geetesh; Thaper, Rajesh Kumar; Dubey, Sushil Kumar
                            Jubilant Organosys Limited, India
PATENT ASSIGNEE(S):
                            PCT Int. Appl., 23 pp.
SOURCE:
                            CODEN: PIXXD2
DOCUMENT TYPE:
                            Patent
                            English
LANGUAGE:
FAMILY ACC. NUM. COUNT:
PATENT INFORMATION:
     PATENT NO. KIND DATE
                                               APPLICATION NO. DATE
     ______
                                                _____
                                              WO 2004-IN281 20040908
     WO 2006027789
                        A1 20060316
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          NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW RW: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE,
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              RU, TJ, TM
     IN 2006DN04348
                               20070713
                                                IN 2006-DN4348 20060727
                        Α
PRIORITY APPLN. INFO.:
                                                WO 2004-IN281
                                                                   20040908
     144:292785 CASREACT
ΑN
ΤI
     Process for preparation of 11-[4-[2-(2-hydroxyethoxy)ethyl]-1-
     piperazinyl]dibenzo[b,f][1,4]thiazepine (Quetiapine) from
     2-amino-2'-carboxydiphenyl sulfide and 1-hydroxyethoxyethylpiperazine.
     Pathak, Shailendra; Sharma, Jitendra; Kaushik, Geetesh; Thaper, Rajesh
ΙN
     Kumar; Dubey, Sushil Kumar
PA
     Jubilant Organosys Limited, India
     PCT Int. Appl., 23 pp.
SO
     CODEN: PIXXD2
DT
     Patent
LA
     English
IC
     ICM C07D281-02
CC
     28-22 (Heterocyclic Compounds (More Than One Hetero Atom))
FAN.CNT 1
                     KIND DATE
     PATENT NO.
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              GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI,
              NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY,
              TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW
          RW: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE,
               IT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI,
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             RU, TJ, TM
     IN 2006DN04348
                            20070713
                                           IN 2006-DN4348
                                                            20060727
                     Α
PRAI WO 2004-IN281
                      20040908
    A process for preparation of Quetiapine comprises reaction of
     2-amino-2'-carboxydiphenyl sulfide with a phosphorus halide or oxyhalide
     to give an iminohalide which is treated with 1-
     hydroxyethoxyethylpiperazine.
     Quetiapine prepn; dibenzothiazepinylpiperazinylethoxyethanol prepn;
ST
     aminocarboxydiphenyl sulfide hydroxyethoxyethylpiperazine reaction
ΙT
     Bases, reactions
     RL: RGT (Reagent); RACT (Reactant or reagent)
        (inorg.; preparation of Quetiapine from aminocarboxydiphenyl sulfide and
        1-hydroxyethoxyethylpiperazine)
ΙT
     Bases, reactions
     RL: RGT (Reagent); RACT (Reactant or reagent)
        (organic; preparation of Quetiapine from aminocarboxydiphenyl sulfide and
        1-hydroxyethoxyethylpiperazine)
ΙT
     Phase transfer catalysts
        (preparation of Quetiapine from aminocarboxydiphenyl sulfide and
        1-hydroxyethoxyethylpiperazine)
ΙT
     Bicarbonates
     Carbonates, reactions
     Hvdrides
     Hydroxides (inorganic)
     Metal alkoxides
     RL: RGT (Reagent); RACT (Reactant or reagent)
        (preparation of Quetiapine from aminocarboxydiphenyl sulfide and
        1-hydroxyethoxyethylpiperazine)
ΙT
     5747-48-8P
                 19806-43-0P
                               329216-67-3P
     RL: IMF (Industrial manufacture); RCT (Reactant); SPN (Synthetic
     preparation); PREP (Preparation); RACT (Reactant or reagent)
        (preparation of Quetiapine from aminocarboxydiphenyl sulfide and
        1-hydroxyethoxyethylpiperazine)
ΙT
     111974-69-7P, Quetiapine 111974-72-2P, Quetiapine hemifumarate
     RL: IMF (Industrial manufacture); SPN (Synthetic preparation); PREP
     (Preparation)
        (preparation of Quetiapine from aminocarboxydiphenyl sulfide and
        1-hydroxyethoxyethylpiperazine)
ΙT
     67-56-1, Methanol, uses 67-63-0, Isopropanol, uses
                                                          67-64-1, Acetone,
     uses 67-68-5, Dimethyl sulfoxide, uses 68-12-2, Dmf, uses 80-73-9
     120-94-5, N-Methylpyrrolidine 127-19-5, Dimethylacetamide 141-78-6,
     Ethyl acetate, uses
     RL: NUU (Other use, unclassified); USES (Uses)
        (preparation of Quetiapine from aminocarboxydiphenyl sulfide and
        1-hydroxyethoxyethylpiperazine)
                                   103-76-4, 1-(2-Hydroxyethyl) piperazine
ΙT
     88-73-3, o-Chloronitrobenzene
     107-21-1, Ethylene glycol, reactions 110-85-0, Piperazine, reactions
     147-93-3, 2-Mercaptobenzoic acid 577-19-5, o-Bromonitrobenzene
     609-73-4, o-Iodonitrobenzene 1493-27-2, o-Fluoronitrobenzene
     13349-82-1
                 54920-98-8
     RL: RCT (Reactant); RACT (Reactant or reagent)
        (preparation of Quetiapine from aminocarboxydiphenyl sulfide and
        1-hydroxyethoxyethylpiperazine)
RE.CNT 1
             THERE ARE 1 CITED REFERENCES AVAILABLE FOR THIS RECORD
RE
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### 10/566,413

# (1) Ici Americas Inc; EP 0240228 A1 1987 CAPLUS

RX(1) OF 20 A + B ===> C...

$$CO_2H$$
 $CO_2H$ 
 $CO_2$ 

RX(1) RCT A 147-93-3, B 88-73-3 RGT D 584-08-7 K2CO3 PRO C 19806-43-0 CAT 311-28-4 Bu4N.I SOL 67-56-1 MeOH CON 4 - 6 hours, room temperature -> 70 deg C

RX(2) OF 20 ...C ===> G...

$$CO_2H$$
 $CO_2H$ 
 $CO_2$ 

RX(2) RCT C 19806-43-0 RGT H 1333-74-0 H2 PRO G 54920-98-8 CAT 7440-05-3 Pd SOL 67-56-1 MeOH CON 10 - 15 hours, 30 - 35 deg C, 100 psi

RX(3) OF 20 ...G + J ===> K

K

RX(4) OF 20 ...G + P ===> Q...

HO 
$$\star$$
 O  $\star$  H  $\star$ 

Q

RX(5) OF 20 ...Q + R ===> K

PRO Q 5747-48-8

10/566,413

K

$$RX(6)$$
 OF 20 ...G + J ===> T...

Τ

RX(6) RCT G 54920-98-8

STAGE(1)

RGT M 10025-87-3 POC13 SOL 108-88-3 PhMe CON 5 - 6 hours, room temperature -> 110 deg C

STAGE(2)

RCT J 13349-82-1 SOL 108-88-3 PhMe, 872-50-4 NMEP

CON SUBSTAGE(2) 6 - 8 hours, 110 - 120 deg C

PRO T 329216-67-3

RX(7) OF 20 ...T + U ===> K

OH HO

U

Τ

Κ

#### RX(7) RCT T 329216-67-3

STAGE(1)

RGT V 121-44-8 Et3N, W 124-63-0 MeSO2Cl SOL 75-09-2 CH2Cl2 CON SUBSTAGE(3) 2 hours, room temperature

STAGE(2)

RCT U 107-21-1

RGT X 7646-69-7 NaH

SOL 107-21-1 (CH2OH)2, 108-88-3 PhMe

CON SUBSTAGE(1) room temperature

SUBSTAGE(2) 10 - 12 hours, room temperature -> 120 deg C

PRO K 111974-69-7

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ANSWER 2 OF 2 CASREACT COPYRIGHT 2008 ACS on STN
                               142:240472 CASREACT
ACCESSION NUMBER:
TITLE:
                               Procedure for preparing a pharmaceutically active
                               compound
INVENTOR(S):
                               Puig Torres, Salvador; Herbera Espinal, Reyes;
                               Dalmases Barjoan, Pere
PATENT ASSIGNEE(S):
                               Laboratorios Vita, S. A., Spain
                               PCT Int. Appl., 22 pp.
SOURCE:
                               CODEN: PIXXD2
DOCUMENT TYPE:
                               Patent
LANGUAGE:
                               English
FAMILY ACC. NUM. COUNT: 1
PATENT INFORMATION:
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                                                    APPLICATION NO. DATE
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WO 2005014590 A3 20050506
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PRIORITY APPLN. INFO.:
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                             MARPAT 142:240472
OTHER SOURCE(S):
      142:240472 CASREACT
ΑN
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      Procedure for preparing a pharmaceutically active compound
      Puig Torres, Salvador; Herbera Espinal, Reyes; Dalmases Barjoan, Pere
IN
      Laboratorios Vita, S. A., Spain
PA
      PCT Int. Appl., 22 pp.
SO
      CODEN: PIXXD2
DT
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      ICM C07D417-00
CC
      28-22 (Heterocyclic Compounds (More Than One Hetero Atom))
FAN.CNT 1
      PATENT NO.
                      KIND DATE
                                                     APPLICATION NO. DATE
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     WO 2005014590 A2 20050217
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             NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY,
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PRAI ES 2003-1922
                      20030808
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    MARPAT 142:240472
OS
GΙ
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- \* STRUCTURE DIAGRAM TOO LARGE FOR DISPLAY AVAILABLE VIA OFFLINE PRINT \*
- AB The invention relates to a procedure for preparing quetiapine (I) by reaction between dibenzothiazepine II and a compound P-OCH2CH2X [P = alc. protective group resistant to alkaline conditions; especially ethers, e.g., tetrahydropyranyl,

CH2Ph, trityl; X = leaving group, e.g., halogen, mesylate, triflate, nonaflate, tresylate, tosylate, brosylate, nosylate], in the presence of a base, followed by a step of deprotection of ether III and, optionally, obtaining a pharmaceutically acceptable salt thereof. Said procedure permits the obtaining of quetiapine with a high degree of purity under soft temperature conditions, with short reaction times and avoiding the use of toxic solvents.

- ST  $\,$  quetiapine prepn; dibenzothiazepine hydroxyethylpiperazino etherification IT  $\,$  Hydrolysis
  - (acid, of O-protected quetiapine; procedure for preparing quetiapine from a dibenzothiazepine piperazinoethanol derivative)
- IT Bases, reactions
  - RL: RGT (Reagent); RACT (Reactant or reagent)

(alkali and alkaline earth metal derivs.; procedure for preparing quetiapine from a dibenzothiazepine piperazinoethanol derivative)

- IT Carbonates, reactions
  - RL: RGT (Reagent); RACT (Reactant or reagent)

(alkali metal and alkaline earth derivs.; procedure for preparing quetiapine from a dibenzothiazepine piperazinoethanol derivative)

- IT Heterocyclic compounds
  - RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent)

```
(dibenzothiazepines; procedure for preparing quetiapine from a
        dibenzothiazepine piperazinoethanol derivative)
ΤT
     Protective groups
        (ethers, alkaline resistant; procedure for preparing quetiapine from a
        dibenzothiazepine piperazinoethanol derivative)
ΙT
     Phase transfer catalysts
        (for etherification of a dibenzothiazepine piperazinoethanol derivative;
       procedure for preparing quetiapine from a dibenzothiazepine
       piperazinoethanol derivative)
ΤТ
     Etherification
        (of a dibenzothiazepine piperazinoethanol derivative; procedure for
preparing
        quetiapine from a dibenzothiazepine piperazinoethanol derivative)
     Alkali metal hydroxides
ΤТ
     Alkaline earth hydroxides
     RL: RGT (Reagent); RACT (Reactant or reagent)
        (procedure for preparing quetiapine from a dibenzothiazepine
        piperazinoethanol derivative)
ΤT
     Quaternary ammonium compounds, uses
     RL: CAT (Catalyst use); USES (Uses)
        (tri-C8-10-alkylmethyl, chlorides, for etherification of a
        dibenzothiazepine piperazinoethanol derivative; procedure for preparing
        quetiapine from a dibenzothiazepine piperazinoethanol derivative)
     1310-58-3, Potassium hydroxide, reactions
                                                 1310-73-2, Sodium hydroxide,
ΤТ
     reactions
     RL: RGT (Reagent); RACT (Reactant or reagent)
        (etherification agent; procedure for preparing quetiapine from a
        dibenzothiazepine piperazinoethanol derivative)
ΙT
     1235-23-0, 2-Chloroethyl trityl ether 5631-96-9, 2-(2-Chloroethoxy)-2H-
                     17229-17-3, Benzyl 2-chloroethyl ether
     tetrahydropyran
                                                                65338-95-6,
     2-[(Tetrahydropyran-2-yl)oxy]ethyl p-toluenesulfonate
     RL: RCT (Reactant); RACT (Reactant or reagent)
        (etherification by, of dibenzothiazepine piperazinoethanol derivative;
       procedure for preparing quetiapine from a dibenzothiazepine
       piperazinoethanol derivative)
     329216-67-3, 2-[4-(Dibenzo[b,f][1,4]thiazepin-11-y1)piperazin-1-y1]ethanol
ΙT
     RL: RCT (Reactant); RACT (Reactant or reagent)
        (etherification of, with (chloroethoxy)tetrahydropyran and analogs;
       procedure for preparing quetiapine from a dibenzothiazepine
        piperazinoethanol derivative)
ΙT
     311-28-4, Tetrabutylammonium iodide
                                           17455-13-9, 18-Crown-6
                                                                    32503-27-8,
     Tetrabutylammonium bisulfate
     RL: CAT (Catalyst use); USES (Uses)
        (phase-transfer catalyst; procedure for preparing quetiapine from a
        dibenzothiazepine piperazinoethanol derivative)
     844639-08-3P, 11-[4-[2-(2-Benzyloxyethoxy)ethyl]piperazin-1-
ΙT
     yl]dibenzo[b,f][a,4]thiazepine
     RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT
     (Reactant or reagent)
        (preparation and acetolysis of; procedure for preparing quetiapine from a
        dibenzothiazepine piperazinoethanol derivative)
     844639-06-1P, 11-[4-[2-(2-Trityloxyethoxy)ethyl]piperazin-1-
     yl]dibenzo[b,f][a,4]thiazepine
     RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT
     (Reactant or reagent)
        (preparation and acid hydrolysis of; procedure for preparing quetiapine
from a
```

dibenzothiazepine piperazinoethanol derivative)

IT 844639-07-2P, 11-[4-[2-(2-Acetoxyethoxy)ethyl]piperazin-1-

yl]dibenzo[b,f][a,4]thiazepine

RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent)

(preparation and basic hydrolysis of; procedure for preparing quetiapine from a

dibenzothiazepine piperazinoethanol derivative)

IT 111974-69-7P, Quetiapine

RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent)

(preparation and reaction of, with fumaric acid; procedure for preparing quetiapine from a dibenzothiazepine piperazinoethanol derivative)

IT 111974-72-2P, Quetiapine hemifumarate

RL: SPN (Synthetic preparation); PREP (Preparation)

(preparation and reaction of, with fumaric acid; procedure for preparing quetiapine from a dibenzothiazepine piperazinoethanol derivative)

IT 110-17-8, Fumaric acid, reactions

RL: RCT (Reactant); RACT (Reactant or reagent)

(procedure for preparing quetiapine from a dibenzothiazepine piperazinoethanol derivative)

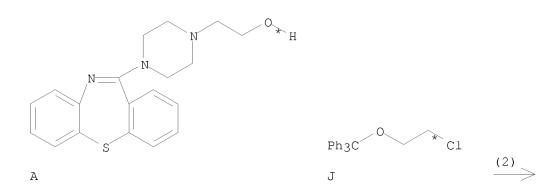
RX(1) OF 13 A + B ===> C

C YIELD 85%

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RX(1)
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STAGE(1) RGT D 1310-73-2 NaOH SOL 7732-18-5 Water CON 25 deg C STAGE (2) RCT A 329216-67-3 CON 25 deg C STAGE(3) RCT B 5631-96-9 CON 25 deg C STAGE(4) CAT 32503-27-8 Bu4N.HSO4 CON SUBSTAGE(1) 25 deg C SUBSTAGE(2) 25 deg C -> 60 deg C SUBSTAGE(3) 6 hours, 60 deg C SUBSTAGE(4) 60 deg C -> 20 deg C STAGE (5) SOL 7732-18-5 Water, 108-88-3 PhMe CON 20-25 deg C STAGE(6) RGT E 7647-01-0 HCl SOL 7732-18-5 Water CON 3 hours, 20 - 25 deg C STAGE(7) RGT F 584-08-7 K2CO3 SOL 7732-18-5 Water CON 20 - 25 deg C, pH 10 PRO C 111974-69-7

#### RX(2) OF 13 A + J ===> K...



Page 16

RX(3) OF 13

A + P ===> C

10/566,413

Α

YIELD 90%

RX(3)

STAGE(1) RGT D 1310-73-2 NaOH SOL 7732-18-5 Water CON 25 deg C

STAGE(2) RCT A

RCT A 329216-67-3 CON 25 deg C

STAGE(3)

RCT P 65338-95-6 CON 25 deg C STAGE (4) CAT 32503-27-8 Bu4N.HSO4 CON SUBSTAGE(1) 25 deg C SUBSTAGE(2) 25 deg C -> 60 deg C SUBSTAGE(3) 8 hours, 60 - 65 deg C SUBSTAGE(4) 60 - 65 deg C -> 20 deg C STAGE (5) SOL 7732-18-5 Water, 108-88-3 PhMe CON 20 - 25 deg CSTAGE(6) RGT E 7647-01-0 HCl SOL 7732-18-5 Water CON 3 hours, 20 - 25 deg C STAGE (7) RGT F 584-08-7 K2CO3 SOL 7732-18-5 Water CON 20 - 25 deg C, pH 10

RX(4) OF 13 ...Q ===> C

PRO C 111974-69-7

YIELD 94%

RX(4) RCT Q 844639-07-2

STAGE(1)

STAGE(2)

RGT L 1310-58-3 KOH CON 3 hours, 20-25 deg C

STAGE(3)

RGT E 7647-01-0 HCl

SOL 7732-18-5 Water

CON 20 - 25 deg C

STAGE(4)

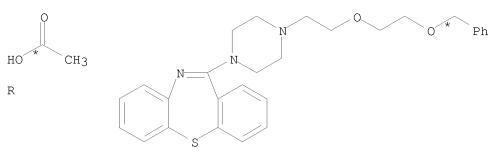
RGT D 1310-73-2 NaOH

SOL 7732-18-5 Water

CON 20 - 25 deg C, pH 10

PRO C 111974-69-7

RX(5) OF 13 ...R + S ===> Q...



S

$$\stackrel{(5)}{\longrightarrow}$$

Q YIELD 89%

RX(5) RCT R 64-19-7

STAGE(1)

RGT T 10035-10-6 HBr SOL 64-19-7 AcOH CON 20 - 25 deg C

STAGE(2)

RCT S 844639-08-3

CON 1.5 hours, 20 - 25 deg C

STAGE(3)

SOL 7732-18-5 Water, 75-09-2 CH2Cl2

CON 20 - 25 deg C

STAGE (4)

RGT U 144-55-8 NaHCO3

CON 20 - 25 deg C

PRO Q 844639-07-2

NTE last stage neutralization

RX(6) OF 13 ...K + W ===> X

(6)

RX(6) RCT K 844639-06-1

STAGE(1)

CAT 104-15-4 TsOH

SOL 67-56-1 MeOH, 108-88-3 PhMe

CON 4 hours, reflux

STAGE(2)

RGT E 7647-01-0 HC1

SOL 7732-18-5 Water, 108-88-3 PhMe

CON 20 - 25 deg C

STAGE(3)

RGT D 1310-73-2 NaOH

SOL 7732-18-5 Water, 108-88-3 PhMe

CON 20 - 25 deg C, pH 9.5

STAGE(4)

SOL 67-56-1 MeOH

CON 20 - 25 deg C STAGE (5) RCT W 110-17-8 CON SUBSTAGE(1) 35 - 45 minutes, 20 - 25 deg C SUBSTAGE(2) 20 - 25 deg C -> reflux SUBSTAGE(3) 5 minutes, reflux SUBSTAGE(4) reflux -> 10 deg C SUBSTAGE(5) 1 hour, 10 - 15 deg C PRO X 111974-72-2 NTE (95%;94%) RX(7) OF 13 A + B ===> C N-(7) ->> Α В 0.  $N^{-}$ YIELD 82% RX(7) STAGE(1) RGT L 1310-58-3 KOH SOL 7732-18-5 Water CON 25 deg C STAGE(2)

RCT A 329216-67-3 CON 25 deg C STAGE(3) RCT B 5631-96-9 CON 25 deg C STAGE (4) CAT 17455-13-9 18-Crown-6 CON SUBSTAGE(1) 25 deg C SUBSTAGE(2) 25 deg C -> 40 deg C SUBSTAGE(3) 6 hours, 40 deg C SUBSTAGE(4) 40 deg C -> 20 deg C STAGE (5) SOL 7732-18-5 Water, 108-88-3 PhMe CON 20 - 25 deg C STAGE(6) RGT E 7647-01-0 HCl SOL 7732-18-5 Water CON 3 hours, 20 - 25 deg C STAGE (7) RGT F 584-08-7 K2CO3 SOL 7732-18-5 Water CON 20 - 25 deg C, pH 10 PRO C 111974-69-7

RX(8) OF 13 A + B ===> C

A B 
$$\frac{(8)}{}$$

RX(9) OF 13 A + Z ===> S...

NTE stage 4 Aliquat 336 catalyst

S YIELD 93%

RX(9)

STAGE(1) RGT D 1310-73-2 NaOH SOL 7732-18-5 Water CON 20 - 25 deg C STAGE(2) RCT A 329216-67-3 CON 20 - 25 deg C STAGE(3) RCT Z 17229-17-3 CON 20 - 25 deg C STAGE (4) 32503-27-8 Bu4N.HSO4 CAT CON SUBSTAGE(1) 20 - 25 deg C SUBSTAGE(2) 20 - 25 deg C -> 60 deg C SUBSTAGE(3) 9 hours, 60 deg C SUBSTAGE(4) 60 deg C -> 20 deg C

STAGE(5)

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SOL 7732-18-5 Water, 108-88-3 PhMe CON 20 - 25 deg C

STAGE(6)

RGT E 7647-01-0 HC1

SOL 7732-18-5 Water

CON 5 minutes, 20 - 25 deg C

PRO S 844639-08-3
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